Clinical Review Criteria
Thermal Capsulorrhaphy for Shoulder Instability

NOTICE: Kaiser Foundation Health Plan of Washington and Kaiser Foundation Health Plan of Washington Options, Inc., provide these Clinical Review Criteria for internal use by their members and health care providers. The Clinical Review Criteria only apply to Kaiser Foundation Health Plan of Washington and Kaiser Foundation Health Plan of Washington Options, Inc. Use of the Clinical Review Criteria or any Kaiser Permanente entity name, logo, trade name, trademark, or service mark for marketing or publicity purposes, including on any website, or in any press release or promotional material, is strictly prohibited.

Kaiser Permanente Clinical Review Criteria are developed to assist in administering plan benefits. These criteria neither offer medical advice nor guarantee coverage. Kaiser Permanente reserves the exclusive right to modify, revoke, suspend or change any or all of these Review Criteria, at Kaiser Permanente's sole discretion, at any time, with or without notice. Member contracts differ in their benefits. Always consult the patient's Evidence of Coverage or call Kaiser Permanente Customer Service to determine coverage for a specific medical service.

Criteria
For Medicare Members

<table>
<thead>
<tr>
<th>Source</th>
<th>Policy</th>
</tr>
</thead>
<tbody>
<tr>
<td>CMS Coverage Manuals</td>
<td>None</td>
</tr>
<tr>
<td>National Coverage Determinations (NCD)</td>
<td>None</td>
</tr>
<tr>
<td>Local Coverage Determinations (LCD)</td>
<td>None</td>
</tr>
<tr>
<td>Local Coverage Article</td>
<td>None</td>
</tr>
<tr>
<td>KPWA Medical Policy</td>
<td>Due to the absence of a NCD, LCD, or other coverage guidance, KPWA has chosen to use their own Clinical Review Criteria, “Thermal Capsulorrhaphy,” for medical necessity determinations. Use the Non-Medicare criteria below.</td>
</tr>
</tbody>
</table>

For Non-Medicare Members

There is insufficient evidence in the published medical literature to show that this service/therapy is as safe as standard services/therapies and/or provides better long-term outcomes than current standard services/therapies.

The following information was used in the development of this document and is provided as background only. It is not to be used as coverage criteria. Please only refer to the criteria listed above for coverage determinations.

Background
Shoulder instability is a common orthopedic problem particularly in the young active population. It can occur from multiple minor traumatic events that result in stretching rather than detaching or tearing ligaments. Certain individuals may have a genetic predisposition that is complicated by repetitive overuse activities. Treatment is directed at reducing capsular volume. Most patients are suitable candidates for a trial of shoulder rehabilitation. Those who fail non-operative treatment may be candidates for surgical intervention.

A variety of surgical techniques are available to reliably prevent recurrent instability. There has been a recent trend towards arthroscopic stabilization and techniques for performing arthroscopic surgery have substantially developed in the past 20 years. Open surgical reconstruction used to be the traditional approach. Now it is reserved for patients with pathology inappropriate for arthroscopic techniques, and in cases where arthroscopic suturing is found to be inadequate intraoperatively.

Thermal capsulorrhaphy is a new treatment modality for shoulder instability, where the joint capsule is heated and reduced in length by laser or radiofrequency energy to regain shoulder stability. The use of heat can alter collagen within the glenohumeral capsule resulting in its contracture. It may be an alternative or an additional way to restore capsule tension and increase thickness of deficient tissues in shoulders with multidirectional and posterior instability.

Experimental studies showed that thermal energy might cause immediate deleterious effects such as loss of mechanical properties, collagen denaturation, and cell necrosis. Over-treatment can lead to severe immediate and permanent damage.
Medical Technology Assessment Committee (MTAC)

Thermal Capsulorrhaphy

08/12/2002: MTAC REVIEW

Evidence Conclusion: The literature reviewed does not provide enough evidence to support the use of thermal capsulorrhaphy for the treatment of shoulder instability.


The use of Thermal Capsulorrhaphy in the treatment of shoulder instability does not meet the Kaiser Permanente Medical Technology Assessment Criteria.

04/03/2006: MTAC REVIEW

Thermal Capsulorrhaphy

Evidence Conclusion: The case series published after the last MTAC review of thermal capsulorrhaphy for shoulder instability in 2004 do not provide any new or additional evidence to support the use of procedure for the treatment of shoulder instability. The ongoing multicenter RCT comparing capsulorrhaphy with open inferior capsular shift for patients with shoulder instability might provide evidence on the efficacy of the intervention.

Articles: The search yielded 28 articles. The majority were reviews, tutorials, and experimental studies. All studies published after the last update was small prospective, or retrospective case series. The search also identified an ongoing RCT comparing electrothermal arthroscopic capsulorrhaphy versus open inferior capsular shift for patients with shoulder instability (Mohtadi NG et al, 2006).

The use of Thermal Capsulorrhaphy in the treatment of shoulder instability does not meet the Kaiser Permanente Medical Technology Assessment Criteria.

<table>
<thead>
<tr>
<th>Date Created</th>
<th>Date Reviewed</th>
<th>Date Last Revised</th>
</tr>
</thead>
<tbody>
<tr>
<td>08/12/2002</td>
<td>04/04/2011 MDCRPC, 02/07/2012 MDCRPC, 12/04/2012 MDCRPC, 10/01/2013 MPC, 08/05/2014 MPC, 06/02/2015 MPC, 05/03/2016 MPC, 03/08/2017 MPC, 01/09/2018 MPC, 12/04/2018 MPC</td>
<td>01/09/2018</td>
</tr>
</tbody>
</table>

MDCRPC Medical Director Clinical Review and Policy Committee

MPC Medical Policy Committee

Revision History

01/09/2018 Adopted KPWA criteria for MA members

Codes

HCPCS: S2300